

ALLEGHENY REGIONAL ASSET DISTRICT

2023 Application Guidelines and Instructions



ELIGIBILITY GUIDELINES

AN APPLICANT SHALL:

- be a governmental entity or nonprofit, tax-exempt corporation with an IRS 501(c)3 certificate on file with the District at the time of application
- have a minimum of two current fiscal years entered in the SMU DataArts project
- have a board-adopted budget and regular financial reporting to its board
- have an independent audit if requesting a total of \$25,000 or more in RAD support
- be the owner/beneficial owner and operator of the program or facility for which funds are sought (No “pass through” or fiscal agent arrangements are permitted.)
- be current on all government taxation and regulatory compliance matters
- provide the majority of its programming or services in Allegheny County
- have a Board adopted current diversity plan
- have a designated accessibility coordinator
- be compliant with District requirements

NOT ELIGIBLE FOR FUNDING:

Act 77 Prohibits:

- any health care facility or institution which predominantly provides elementary, secondary or higher education or other training
- any park less than 200 acres except for linear parks located in more than one municipality
- any asset which fails to serve a significant number of residents outside of the municipality in which the asset is located
- any library which is not part of a library system serving multiple municipalities

In addition, the District will NOT provide funding for:

- social/human service agencies and programs
- parades, single or short-term events, or festivals
- local recreation programs, facilities and activities such as teams and leagues
- economic and housing development agencies and programs
- governmental-type services such as public safety and health programs, water and sewer projects, bridges, vistas and roads
- historical monuments unless part of an otherwise regional asset, such as a regional park or museum, or sufficient funds have been raised and set aside at the time of the application
- organizations not open to the public on a regular and consistent basis

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ABOUT RAD

Act 77 of 1993 charged the Allegheny Regional Asset District (RAD) Board with distributing via grants half of the proceeds from the 1% county sales tax to regional assets in the areas of regional parks, libraries, arts and culture, sports facilities, and other areas determined by the Board.

RAD is governed by a seven-member board; the Allegheny County Chief Executive appoints four members and the Mayor of Pittsburgh appoints two. The six appointed members elect a seventh member. Six of the seven members must authorize each grant. The Board sets the goals of the District, evaluates the progress towards the goals and makes the final decisions on the use of the funds.

APPLICATION PROCESS

The application is an online document. To work on an application you must have a user name and password. New representatives can obtain user name and password through the registration process, which is also online.

The application is in two parts:

- Part 1 is a series of folders/tabs that contains requests for information in Q&A, narrative, and data entry formats.
- Part 2 is a series of "Tasks." The system allows you to upload information to RAD.

The Tasks include attaching a current SMU DataArts report. SMU DataArts collects standardized financial and organizational information from organizations. Access to this separate, online system is explained in the instructions on Page 10.

- **You must electronically sign the application before it can be accepted by RAD.** Receipt by RAD will be confirmed with a date of receipt on the home page of your application.

This information is also available online at: <https://www.radworkshere.org/pages/applications-and-eligibility>

First-time applicants and applicants that have not been funded in the past three years must meet with RAD staff prior to filing an application to discuss eligibility and requirements. Please contact the RAD office to schedule an appointment 412-227-1900 or info@radworkshere.org.

FILING DEADLINES/AWARD DATES

- Applications must be “electronically signed” and submitted by **July 11, 2022 at 4:30pm EDT.**
- The District will notify the applicant contact regarding the scheduling of public review sessions to be held in late August/early September at which time a representative can present the request to members of the District Board.
- The District will release a preliminary budget on or about September 30 and adopt a final budget on or about December 1. Grant agreements are then sent out in early January and contain a cash flow schedule.

GENERAL INFORMATION

District Goals

The goal of the District’s grant program is to preserve, to improve and to develop a diverse group of well-managed and financially sound regional assets, including libraries, regional parks, civic and cultural facilities and programs, and regional sports facilities, and to ensure that the activities of the assets are widely available to the public.

Applicants will be evaluated in four areas:

- *Governance*, including: an active and involved board, realistic measurable goals; progress toward meeting the goals, regular strategic planning, accurate and timely financial reporting; realistic projections, operating within means, diversification of revenue, utilization of cash flow monitoring and ability to deal with financial stress (e.g., available cash reserves, low debt); efforts to reduce administrative and overhead costs through cost sharing initiatives.
- *Programming*, including: scheduled, quality programming, outreach, audience development and community impact.
- *Diversity*, including: efforts to encourage and to sustain board, staff and programming diversity through implementation of diversity plans.
- *Accessibility and Inclusion*, including: efforts to accommodate and to increase participation by people with physical and cognitive disabilities.

Funding Categories

Applications will be accepted for 2023 general operating and capital support.

Reporting Requirements

- Information submitted to the District is a matter of public record and is available for public examination on request.

- Successful applicants will be required to enter into an agreement setting forth the terms and conditions of the grant. Grantees must be in and remain in compliance with all governmental requirements including tax filings. Assets must submit reports as requested and will be subject to audit and/or procedural reviews by the District staff or representatives. Grantees are required to maintain records concerning the grant for a period of two years after the completion of the grant year.

General Instructions

The District accepts one annual operating grant application.

1. Although there is some budget information requested in Part 1, most of the financial and other statistical data is gathered from the SMU Data Arts system which is outlined in Part 2.
2. Applicants requesting a total of \$25,000 or more must submit independently **audited** financial statements signed by a CPA from the last completed fiscal year and available within nine months of the end of that year. This should include the auditor's financial opinion and management letters, the financial statements and the notes to the financial statements. In Part 2, "Tasks," please upload your most recent (FY 2021) audit here even if it has been previously sent to the District. If FY 2021 audit is not available, provide year-end financial statements.
3. Applicants requesting less than \$25,000 must submit a copy of their most recent IRS 990 report along with the most recent FY21 year-end financial statements including a Statement of Activities and Statement of Financial Position (Balance Sheet), certified by a board/executive officer that was used to complete the information you provided in the SMU Data Arts section. Please upload the information in Part 2, "Tasks" even if it has been previously provided to the District.
4. Applicants must have a Board adopted diversity plan. In Part 2, "Tasks," upload your most recent plan even if it is on file with the District.
5. Once you have completed and signed your application you do not need to mail it. Staff will contact you if something else is required.
6. The system has validation features that will not allow you to sign and submit the application until required questions are answered. Error messages will appear and you will need to clear all of these messages before signing.
7. **Follow instructions about saving work carefully. DO NOT CLOSE THE SYSTEM WITHOUT SAVING YOUR WORK OR IT WILL BE LOST. If you want to keep the ability to make changes, save your work in one of the draft options until you have completed editing.**
8. Once you have signed and submitted the application you will see a confirmation on the **Home page**.

DETAILED INSTRUCTIONS

Registration

In order to use RAD's online system you must have a username and password that is linked to your *current* organization. If you moved from the staff of one asset to another you must request new credentials linked to the new organization.

Only one username and password can be assigned to an organization. For this reason, new staff assigned to complete the RAD application should register for their own credentials rather than use another staff person's. RAD will delete the other staff person's credentials when the new one is assigned.

If you need to create a new registration, you must have your organization's Tax ID number and make sure that RAD has a copy of your organization's IRS 501(c)3 designation letter. This letter is already on file for all previous applicants. Otherwise, it can be sent via email. You will also be asked for a brief summary of what your organization does.

Everything begins at the Sign In page:

<http://ims.radworkshere.org/assets/login.aspx>

Here you will either

- Login using your activated username and password.
- Begin a new registration.

New registrants must work through the registration process by clicking **[Go to the next step]** after each page is complete. At the end you will select a password that is at least six characters in length. Click **[Submit User Account Request]**.

RAD will review the data, make sure what you do is eligible and verify that the 501(c)3 letter is on file. Within two business days you will receive an email assigning your user name and confirming your password.

Starting an Application

Enter your activated user name/password at the **Sign In Page**. You will be taken to the **Home Page**.

Click on **[Start a New Application]**. A page will come up with some brief instructions and a in the 2023 application box. Click **[Start this application]** and you will be taken back to the **Home Page** where your new application will be listed under the **Status Bar** in the middle of the screen.

Please note the symbols    explained on the Home Page that will help you understand what needs to be done.

PART 1

Once you click on [“Work on application forms,”](#) you will be taken to a series of folder/tabs that make up Part 1. The first tab is an introduction and does not require any responses. Click on each tab after the Introduction tab and insert the information requested.

Where narrative response boxes appear, you may cut and paste plain text documents (not tables or pictures) from other files. Respond to the questions directly and as briefly as possible.

While you can work on the tabs in any order, it is recommended that you click **[Save this application]** as you complete each folder/tab. You will be given a choice to continue editing or return to Home Page and work on other items and/or log out. A third choice indicating you are finished will lock in responses to Part 1 and prevent further editing. *It should be selected only when you have completed all of Part 1.*

Here is what you will find on each folder/tab after the Introduction tab:

√ **Tab - Contact Information**

Insert current contact information along with the amount of your request.

√ **Tab - Organizational Assessment**

Answer the series of questions yes/no and provide any explanation needed in the text box next to the question. All questions must be answered.

This page is now in two sections, *General Questions* that relate to various administrative, recordkeeping and operational matters; and *Accessibility and Inclusion*, relating to how your organization is accommodating people with disabilities.

Under the Americans with Disabilities Act, all organizations that serve the public are required to make accommodations for people with disabilities to enjoy the benefits of the organization’s services. The Accessibility and Inclusion questions are designed to assist RAD in evaluating applicants’ efforts in this area. The questions seek information on:

- The title of the position or office you have designated to coordinate matters relating to accessibility for people with disabilities. This designation is required of all RAD applicants.
- Whether the facilities you rent or own meet accessibility requirements of federal, state and/or local codes. If they do not meet these requirements you are required to have a plan to bring them into compliance.
- Whether your programs include other accommodations for people with disabilities;
- Where you post accessibility information, including accessibility coordinator contact information, such as web sites and printed materials.

√ **Tab - Narrative**

Answer in narrative form a series of questions about your organization and its goals, programming and financial status. You can cut and paste from other plain text documents.

√ **Tab - Budget Information – Operating Budget Only**

Fill in the right chart with your estimate of how you ended or project to end the current fiscal year, which is the year that ends some time in 2022.

Fill in the left chart with your estimate of revenue and expenses for the projected fiscal year, which is the year that ends sometime in 2023.

NEW: If you have completed a close out report for the past 4 years, the revenue and expenses lines will be auto populated for years 2021, 2020, 2019 and 2018.

2021 Contributed Operating Funding Sources – List the top 3 funding sources by name and dollar amount. Sources should be individual and/or entity – i.e. RAD, Google or XYZ Foundation.

√ **Tab - Organizational Diversity**

RAD is committed to work that is rooted in inclusion, diversity, equity, and access. The RAD Board and staff work diligently to ensure the organizations that receive RAD funding are welcoming and accessible for all.

This information requested is offered as an opportunity for applicants to reflect on their own organizational diversity, where improvements could be made, and (for recurring applicants) how their diversity demographics may change or improve over time. It is our hope that by compiling this information, applicants will not only understand that the RAD Board values organizational diversity, but that they will also consider their own commitments to diversity and how that is reflected within their boards and staff.

RAD understands that this chart is limited to demographic diversity and does not reflect the totality of your organization's diversity goals and objectives. Answers are optional and self-reported to the best of your knowledge.

Insert demographic data on your board and staff composition as instructed on the form.

In the first chart you will list the break down for all full or part-time employees. Do not include volunteers or contracted staff.

The District wants to know how many of the total personnel listed in the first chart are classified as employees, and the second chart is Leadership/Managerial. These are the same classifications used on the federal EEOC report filings.

The third chart is for providing the information on the Board of Directors. Do not include advisory board members here, only governing board members.

After completing the charts, complete the text box with a report on the steps taken in the last year to implement your diversity plan. New applicants who have not submitted such a plan must do so in Part 2 of the application. **All applicants must submit their most recent diversity plan, even if a plan is on file with the District.**

√ **Tab - Geographic Distribution/Attendance**

RAD is required to verify that assets serve a significant number of people from more than one municipality. Explain in the text box how you collect this information. Using data from your most recently completed year, insert percentages where indicated on how many participants live in the City of Pittsburgh, Allegheny County outside of Pittsburgh, other parts of the state and outside the state. You will be contacted if more information is needed.

Attendance – List total public and virtual attendance for 2019, 2020 and 2021. Attendance should be for your fiscal year. If you have completed a close out report for the past three years, the attendance in the close out report will automatically be listed. **Please verify accuracy of attendance data.**

PART 2 - TASKS

Part 2 of the application involves “Tasks.” There are five tasks you must complete before you are able to sign and file.

When you are ready to work on the Tasks, return to the **Home Page**. On the **Status Bar** where it says “Tasks to Complete” you will find the number of tasks for you to complete, e.g. **“0 of 5 tasks completed.”** Further down on the home page find a list of the tasks and click on **“Work on this task”** to begin working on each one separately.

General Task Instructions

Each task must be reviewed and responded to even if you already have sent the information to RAD. You must enter a response in the box that says *“Your Response.”* The response choices are:

- *Report uploaded here*
- *List Attached*
- *Not applicable (for example, government agencies are not required to submit board lists)*

As you complete each task, a green check mark will appear beside it on the Home page. When all are complete, it will say “All tasks completed” in the “Tasks to complete” column.

Note: File uploads are limited to 6 MB in size. Documents prepared with extensive tables, such as audit reports, or with pictures may be too large and will result in an error message. It is recommended that you send audits or any other large files to RAD via separate email, postal mail or fax. They should be received in the RAD office by the application due date, July 11. In this case, applicants should say “Report being mailed” in the “Your response” box and then Save Task Response.

If you are finished with the task, then select the option that appears after you save the task:

- ***I want to submit this task as complete and do not need to make further edits to this task.***

You will be returned to the Task list to select the next task to work on.

Following is a brief description of each Task.

√ **Task 1 Audit/Financial Statement**

Applicants that request \$25,000 or more in total funding are required to be independently audited on a regular basis. *RAD policy now requires audits to be submitted no later than nine months after completion of a fiscal year.*

If you request less than \$25,000, submit your most recent FY2021 financial statements certified by a board officer/executive can be substituted for an audit. You must also provide a current IRS 990 report.

√ **Task 2 Board Diversity Plan**

All applicants must have a current board-adopted diversity plan. In this task you must upload your organization's most recent plan.

√ **Task 3 Board Member List**

RAD requires 501(c)3 organizations to submit a recent list of your Board members. Government entities are exempt from this requirement and should write "not applicable" in the "Your response" box.

√ **Task 4 Capital Project Request**

Urgent, shovel-ready capital maintenance, major equipment purchases and major repairs to existing facilities are eligible as are projects and equipment designed to make facilities and programming more accessible. Useful life of improvements should be reasonably consistent with applicants' ownership or lease situation. Financial support from other sources will be taken into consideration during evaluation. Grants awarded will have two years for drawdown, beginning on January 1st of the award year. Planning and feasibility studies are not eligible. If you have requested funding for capital projects you will need to provide additional information on the project or projects. Please answer the following questions and upload your document to your application.

1. A detailed description of the project(s).
2. Provide a breakdown of cost for the project, including the total cost.
3. RAD requires you to have at least two professional estimates for this project.
4. What is the completion date of the project?
5. Do you own the space where this project will be completed?
6. Do you lease the space where this project will be completed?
 - a. If yes, who is the lessor and what is the term length?
 - b. Are there options for extension? If so, what are they?
7. Does this project address a safety concern? Please explain.
8. Does this project address a regulatory or compliance issue? If yes, please provide a detailed description including codes, regulations, statutes, etc.
9. Does this project address an accessibility issue? Please explain.
10. What percent of funding are you asking RAD to provide?
11. Is your project sustainable upon completion? Do you have capital reserves to maintain?
12. List all other sources of funding that will be applied to this particular project?
13. Did you request any other sources of public funds (i.e. State funds – RACP, or CITF)?
14. What impact to revenue and / or expenses will completion of this project have on your operating budget the year following completion? (i.e. Revenue will grow 10% or by a certain dollar amount, or expenses will be reduced 5% or by a certain dollar amount.)

15. What is the impact to revenue and / or expenses if this project is not completed? Please explain.
16. Provide three years of unrestricted surpluses or deficits from audit report / Data Arts.
17. Demonstrate how you will afford opportunities to minority and women owned businesses.
18. If applicable, please provide any details if the project is considered public art or has a public design component.

√ **Task 5 Attach SMU DataArts Funder Report**

All applicants must submit financial and demographic data for at least two years through DataArts. Visit www.culturaldata.org to get started.

Contact the Support Center with any questions. Hours of operation are Monday-Friday 9am to 7pm.
Email: help@culturaldata.org
Phone: 1-877-707-3282

Data from a minimum of two fiscal years must be in the DataArts system for RAD to review and must be as current as possible. Upload a PDF file of RAD's Funder Report to your application.

SIGN AND FILE

When you have completed Part 1 and the Tasks in Part 2 you are ready to file the application with RAD. If you are not automatically taken to a signing page then go to the **Home Page** where you will see **Submit This Application** in the **Status Bar**. Go ahead and click and follow the instructions.

When the signing page comes up, enter the full name of the person authorized to file the application (not user name) and the password you used to log onto the system. Entering this is your organization's electronic signature and has the same legally binding impact as if signed on paper.

The message on your home page under "Submission Status" on the **Status Bar** will state "Application Submitted–Date" and a green check mark will appear on the application line. This is your confirmation that your application has been received by RAD.